

TRANSFER AGENT SUBSCRIPTION

Audit Analytics is an independent research provider of public company disclosure information. We have extensive databases of qualitative data that has been normalized and is searchable by our users. Our Transfer Agent clients are currently using Audit Analytics to perform market share analysis, due diligence, and to prospect for new business.

MARKET SHARE ANALYSIS

Conduct market share analysis by viewing who the public registrant clients are for each Transfer Agent.

The screenshot shows the Audit Analytics web interface for Transfer Agent Search. On the left, there are search filters for 'Select Transfer Agent' (listing All, Computershare, BNY Mellon, etc.) and 'Search by Ticker or CIK Code'. Below this, it indicates '2,241 Registrants Found' and provides download options for 'Transfer Agents (XLS)' and 'CSV'. On the right, there are advanced search filters for 'Filter On' (De-Registered Entities, Market Cap, Revenue, Industry) and 'Company Location' (Last Periodic Report, Shareholders, Filter by Market, Sort By). A 'Current Subscription' sidebar is also visible. Overlaid on the right is a pie chart titled 'S&P 500 Transfer Agent Market Share' showing the following distribution: Computershare/BNY Mellon (63%), Wells Fargo Bank (19%), AST (14%), Broadridge (3%), and Other (1%). A note at the bottom of the chart states 'Data as of 9/20/13' and 'Source: www.AuditAnalytics.com 508.476.7007'.

Gain insight into particular companies, by pulling down the search results into an Excel file. Quickly massage and filter the data by fields such as: number of shareholders, revenue, industry, and location.

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q
Transfer Agent CIK Code	Transfer Agent Name	Transfer Agent Street	Transfer Agent Street 2	Transfer Agent City	Transfer Agent State (Abbrev)	Transfer Agent State (Full)	Transfer Agent State Region	Transfer Agent Zip	Transfer Agent Phone	Transfer Agent Ultimate Parent CIK Code	Transfer Agent Parent Name	Transfer Agent Parent Ticker	Transfer Agent Most Recent Periodic Filing	Transfer Agent Most Recent Periodic Filing Date	Transfer Agent Most Recent Registration on Filing Date	Transfer Agent Most Recent Registration on Filing
5876	AMERICAN STOCK TRANSFER & TRUST CO. LLC	6201 15TH AVE		BROOKLYN	NY	NEW YORK	US Mid At	11219	2.13E+09				TA-2	#####	5/3/2011	TA-1/A
1288763	Computershare Investor Se	100 UNIVERSITY AVE		TORONTO	ON	ONTARIO	Canada	M5J 2Y1	416-263-9138	1146230	Computershare Inc		TA-2	#####	#####	TA-1/A
1502303	CANADIAN STOCK TRANSFER	320 BAY STREET		TORONTO	ON	ONTARIO	Canada	M5H4A6	718 921 8138				TA-2	#####	#####	TA-1
24179	CONTINENTAL STOCK TRANSFER	17 BATTERY PLACE		NEW YORK	NY	NEW YORK	US Mid At	10004	2.13E+09				TA-2	#####	#####	TA-1/A
1327421	J.P. Morgan Chase Bank NA	4 NEW YORK PLAZA		NEW YORK	TX	TEXAS	US South	10004-241	212 623 90	19617	JPMORGA	JPM	TA-2	#####	#####	
25728	WELLS FARGO BANK NA	ONE MONTGOMERY		SAN FRANCISCO	CA	CALIFORNIA	US West	94104	4.16E+09	72971	WELLS FA	WFC	TA-2	#####	#####	
1288763	Computershare Investor Se	100 UNIVERSITY AVE		TORONTO	ON	ONTARIO	Canada	M5J 2Y1	416-263-9138	1146230	Computershare Inc		TA-2	#####	#####	TA-1/A
25728	WELLS FARGO BANK NA	ONE MONTGOMERY		SAN FRANCISCO	CA	CALIFORNIA	US West	94104	4.16E+09	72971	WELLS FA	WFC	TA-2	#####	#####	
25728	WELLS FARGO BANK NA	ONE MONTGOMERY		SAN FRANCISCO	CA	CALIFORNIA	US West	94104	4.16E+09	72971	WELLS FA	WFC	TA-2	#####	#####	
25728	WELLS FARGO BANK NA	ONE MONTGOMERY		SAN FRANCISCO	CA	CALIFORNIA	US West	94104	4.16E+09	72971	WELLS FA	WFC	TA-2	#####	#####	
5876	AMERICAN STOCK TRANSFER & TRUST CO. LLC	6201 15TH AVE		BROOKLYN	NY	NEW YORK	US Mid At	11219	2.13E+09				TA-2	#####	5/3/2011	TA-1/A
1145892	COMPUTERSHARE INVESTOR SE	150 ROYALL ST		CANTON	MA	MASSACHUSETTS	US New E	02021	7.82E+09	1146230	Computershare Inc		TA-2	#####	#####	
1108200	COMPUTERSHARE INVESTOR SE	2 N LASALLE ST		CHICAGO	IL	ILLINOIS	US Midw	60602	781-575-4	1146230	Computershare Inc		TA-2	#####	#####	TA-1/A
25728	WELLS FARGO BANK NA	ONE MONTGOMERY		SAN FRANCISCO	CA	CALIFORNIA	US West	94104	4.16E+09	72971	WELLS FA	WFC	TA-2	#####	#####	
5876	AMERICAN STOCK TRANSFER & TRUST CO. LLC	6201 15TH AVE		BROOKLYN	NY	NEW YORK	US Mid At	11219	2.13E+09				TA-2	#####	#####	TA-1/A
1146230	Computershare Inc	250 ROYALL STREET		CANTON	MA	MASSACHUSETTS	US New E	02021-101	7.82E+09				TA-2	#####	5/3/2011	TA-1/A
1146230	Computershare Inc	250 ROYALL STREET		CANTON	MA	MASSACHUSETTS	US New E	02021-101	7.82E+09				TA-2	#####	8/7/2013	TA-1/A
5876	AMERICAN STOCK TRANSFER & TRUST CO. LLC	6201 15TH AVE		BROOKLYN	NY	NEW YORK	US Mid At	11219	2.13E+09				TA-2	#####	#####	TA-1/A
5876	AMERICAN STOCK TRANSFER & TRUST CO. LLC	6201 15TH AVE		BROOKLYN	NY	NEW YORK	US Mid At	11219	2.13E+09				TA-2	#####	5/3/2011	TA-1/A
5876	AMERICAN STOCK TRANSFER & TRUST CO. LLC	6201 15TH AVE		BROOKLYN	NY	NEW YORK	US Mid At	11219	2.13E+09				TA-2	#####	5/3/2011	TA-1/A
1145892	COMPUTERSHARE INVESTOR SE	150 ROYALL ST		CANTON	MA	MASSACHUSETTS	US New E	02021	7.82E+09	1146230	Computershare Inc		TA-2	#####	#####	
944496	COMPUTERSHARE SHAREHOLDERS	1480 WASHINGTON		JERSEY CITY	NJ	NEW JERSEY	US Mid At	7310	201-680-4	1390777	Bank of N BK		TA-2	#####	#####	TA-1/A
849542	BNY Mellon Investment Ser	4400 COMPUTER DR		WESTBORO	MA	MASSACHUSETTS	US New E	1581	5.09E+09	1390777	Bank of N BK		TA-2	#####	#####	TA-1/A
849542	BNY Mellon Investment Ser	4400 COMPUTER DR		WESTBORO	MA	MASSACHUSETTS	US New E	1581	5.09E+09	1390777	Bank of N BK		TA-2	#####	#####	TA-1/A
1145892	COMPUTERSHARE INVESTOR SE	150 ROYALL ST		CANTON	MA	MASSACHUSETTS	US New E	02021	7.82E+09	1146230	Computershare Inc		TA-2	#####	#####	
1146230	Computershare Inc	250 ROYALL STREET		CANTON	MA	MASSACHUSETTS	US New E	02021-101	7.82E+09				TA-2	#####	8/7/2013	TA-1/A
854922	BANK OF NEW YORK MELLON	101 BARCLAY ST		NEW YORK	NY	NEW YORK	US Mid At	10286	201-680-2	1390777	Bank of N BK		TA-2	#####	#####	
1327421	J.P. Morgan Chase Bank NA	4 NEW YORK PLAZA		NEW YORK	TX	TEXAS	US South	10004-241	212 623 90	19617	JPMORGA	JPM	TA-2	#####	#####	
854922	BANK OF NEW YORK MELLON	101 BARCLAY ST		NEW YORK	NY	NEW YORK	US Mid At	10286	201-680-2	1390777	Bank of N BK		TA-2	#####	#####	

DUE DILIGENCE

Audit Analytics maintains a company profile page for every SEC registrant so that users can easily get a glimpse into the health of the company's disclosure environment.

AUDIT ANALYTICS

Audit + Compliance Corporate + Legal Accounting + Oversight OIA Data Canada (SEDAR) Peer Reporter Feed

GREEN MOUNTAIN COFFEE ROASTERS INC [GMCR]
Formerly: GREEN MOUNTAIN COFFEE INC

Overview Company Info Governance Legal

Material Legal Proceedings Recent Staff Comments Significant Short Interest

Ticker	GMCR (Nasdaq National Mkt)	Auditor	PricewaterhouseCoopers LLP since 1986
IRS Number	03-039228	CIK Code	909954 SEC Filings '34 Act Filer
Fiscal Year End	September 29th	Filer Status	Large Accelerated Filer as of 29 June 2013
Business Address	33 COFFEE LANE WATERBURY, VERMONT 05676 (802) 244-5621	Mailing Address	33 COFFEE LANE WATERBURY, VERMONT 05676
IPO Year	1993	Company Website	www.greenmountaincoffee.com
Index	Member S&P 400 Member Russell 1000	Industry	SIC: 2090 Miscellaneous Food Preparations & Kindred Products NAICS: 311920 Coffee and Tea Manufacturing SEC Assistant Director: 4 Natural Resources and Food
Market Cap	\$11,412,400,128	Shares Outstanding	150,739,008
Revenue (yr)**	\$4,257,659,000	Float	83,243,000
Revenue (mrr)	\$967,072,000	Short Interest	31,093,603 (2013/09/13)
Earnings (mrr)	\$116,272,000	Last Report	10-Q (2013-08-07)
Book Value	\$1,332,165,000	Last Non-Timely Filing	NT 10-K (2010-11-24) More Detail >
Assets	\$3,623,383,000	Last Securities Registration	S-8 (2013-03-08)
Transfer Agent	CONTINENTAL STOCK TRANSFER & TRUST CO /TA	Shareholders	Common 437 (2012-11-28)

Financial info as of 2013-06-29. ** Trailing twelve months. [Income Statement](#) | [Balance Sheet](#) | [Cash Flow](#)

Restatements Accounting Changes Auditor Fees Auditor Changes Opinions Controls Late Filings

Restatements More Detail >>

2010-11-19 PR for the period from 2006-10-01 to 2010-09-25

Director / Officer Changes More Detail >>

2013-08-23 8-K
Employment Ceased Michelle Stacy President U.S. Commercial

2013-08-07 8-K
Appointed Robert P Ostyniec Chief Product Supply Officer

2013-06-20 8-K
Retired Lawrence J Blanford Director
Appointed John D Hayes Director

Auditor Changes More Detail >>

None found.

Litigation More Detail >>

Nominal Defendant in Cargo v. Stiller et al
Lead defendant in Fifeild v. Green Mountain Coffee Roasters Inc et al
Lead defendant in Teashoh LLC v. Green Mountain Coffee Roasters Inc et al
Lead defendant in Louisiana Municipal Police Employees' Retirement System v. Green Mountain Coffee Roasters Inc et al
Defendant in Select Retrieval LLC v. Amerimark Direct LLC et al
Nominal Defendant in In Re Green Mountain Coffee Roasters Inc Derivative Litigation

PROSPECTING FOR NEW BUSINESS

Quickly identify companies that may be seeking the services of a Transfer Agent. Conduct searches of companies that have recently filed a registration statement with the SEC, and also be aware of companies that have raised significant capital through a private offering.

AUDIT ANALYTICS

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Initial Public Offerings

Company Search

Search All Companies
Search by Ticker or CIK Code

Ticker/CIK Lookup
Enter a series of tickers/CIK codes separated by spaces or commas.

Filter On
Offering type: Initial Public Offering
Company Location: > 2013-10-01
Assets: > 2013-10-01
Revenues: > 2013-10-01
Industry: Search Industries @
Sort By: Revenue

Search by Company

Private Placements

Company Search

Search All Companies
Search by Ticker or CIK Code

Enter a series of tickers/CIK codes separated by spaces or commas

Exclude Pooled Investment Funds @

663 offerings by 639 Primary Issuers
Save This Search

Companies [XLS] [CSV] 119 KB

People
Related Persons [XLS] [CSV]
Compensation Recipients [XLS] [CSV]
Signers [XLS] [CSV]

Data Dictionary - Private Placements

Search by Company

Search Results

Primary Issuer	Ticker	Industry	File Date	Total Offering	First Sale	Amount Sold	Amount Remaining	State
Chilton International (BVI) Ltd.		Pooled Investment Fund Hedge Fund	2013-10-07	\$0	1993-12-01	\$ 3,183 M		Indevine CT
Chilton Small Cap International II (BVI)		Pooled Investment Fund Hedge Fund	2013-10-07	\$0	2009-07-01	\$ 61 M		Indevine CT
CHILTON STRATEGIC VALUE INTERNATIONAL II		Pooled Investment Fund Hedge Fund	2013-10-07	\$0	2005-05-01	\$ 126 M		Indevine CT
Chilton Global Credit Opportunities Fund		Pooled Investment Fund Hedge Fund	2013-10-07	\$0	2007-04-01	\$ 8 M		Indevine CT
FA Veritas RE Fund, L.P.		Pooled Investment Fund Private Equity Fund	2013-10-07	\$0	2013-09-30	\$ 301 M		Indevine CT
Chilton ERISA International (BVI) Ltd.		Pooled Investment Fund Hedge Fund	2013-10-07	\$0	2006-07-01	\$ 301 M		Indevine CT
Chilton Global Balanced, L.P.		Pooled Investment Fund Other Investment Fund	2013-10-07	\$0	2010-01-01	\$ 8 M		Indevine CT
CHILTON EUROPEAN INTERNATIONAL (BVI) LTD		Pooled Investment Fund Hedge Fund	2013-10-07	\$0	1999-03-01	\$ 807 M		Indevine CT
SDICON CORP		Other	2013-10-07	\$205,000	2013-10-01	< \$ 1 M	0	OK

AUDIT ANALYTICS

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