

Module Overview

More Data. More Opportunities.

Quality data can be hard to obtain, requiring endless hours of research and effort. But Audit Analytics makes it easy.

As the go-to source for highly accurate, comprehensive, normalized, and structured independent research and data of audit, regulatory, and disclosure intelligence, our data is collected, organized, and analyzed with rigor by our expert team of researchers.

At Audit Analytics, we offer **50+ unique databases** across **eight different modules**.



Frequently Asked Questions

? What is a module?

Audit Analytics generally sells annual subscriptions to bundles which we refer to as modules.

Each module brings together several different databases – usually between five and ten – which provide users with access to complementary data.

Our modules are broadly organized either by the content of the data (*Audit + Compliance* | *Accounting + Oversight* | *Corporate + Legal* | *Funds + Securities*) or the source of the data (*Canada* | *OIA* | *Europe*).

? What does it mean to have access to a module?

Subscribing to a module grants complete access to every database within that specific module.¹ There are no limits to the number of queries, views, or downloads.

? What is a database?

A database is a single product within a module; it's a set of data content based around a specific type of regulatory disclosure, such as Audit Opinions or Director & Officer Changes.

Our databases come packed with all the variables necessary for your analysis. Additionally, each database comes with an associated Data Dictionary, which fully defines the scope of the data, as well as key data points.

Most databases allow you to save searches and set up alerts so that you can receive notifications straight to your inbox whenever a new event occurs that matches your parameters.

¹ There are a few exceptions where access to certain datasets requires an additional charge.

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Audit Analytics offers more than 50 databases across eight different modules.

<p>Audit + Compliance</p> <ul style="list-style-type: none"> Audit Fees Audit Opinions Auditor Changes Auditor Engagements Benefit Plan Opinions Director & Officer Changes Disclosure Controls Financial Restatements Internal Controls Late Filers 	<p>Accounting + Oversight</p> <ul style="list-style-type: none"> Accounting Quality Risk Adjustments Audit Firm Events Auditor Ratification Changes in Estimates Critical Audit Matters (CAMs) Cybersecurity Impairments PCAOB Reports 	<p>Corporate + Legal</p> <ul style="list-style-type: none"> Bankruptcies Comment Letters Comment Threads IPOs Litigation Mergers & Acquisitions Outside Counsel Private Placements Shareholder Activism Tax Footnotes Transfer Agents 	<p>Funds + Securities</p> <ul style="list-style-type: none"> Audit Fees Auditor Engagements Debt Securities Pledged Securities
<p>OIA - Financial Services</p> <ul style="list-style-type: none"> Banks Broker Dealers Insurance Private Funds RIAs 	<p>OIA - Gov + Non-Profit</p> <ul style="list-style-type: none"> ERISA Non-Profit 	<p>Canada</p> <ul style="list-style-type: none"> Audit Fees Audit Opinions Auditor Changes Auditor Engagements Controls Key Audit Matters (KAMs) Financial Restatements 	<p>Europe</p> <ul style="list-style-type: none"> Audit Fees Audit Opinions Auditor Changes Auditor Engagements Key Audit Matters (KAMs) Financial Restatements Transparency Reports

Did you know?

Audit Analytics' datasets are also available individually as customized data feeds.

Our data feeds are designed for easy development of custom applications, data mashups, and dynamic reports.

A data feed license enables integration of the Audit Analytics data with internal information systems including Compliance and Risk Management Systems, Sales & Marketing Systems, and CRM.

There are over **70 Data Feeds** available as of 2021.



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Audit + Compliance

This module makes it effortless to uncover the next market trends and activities — review Audit Fees, Audit Opinions, Financial Restatements, and more, all from one easy-to-use location. Audit Analytics' robust Audit + Compliance module collects data from a multitude of sources and normalizes it so that you can focus on new analysis.

Scope: All '33 & '34 Act Filers | SEC Filings | Data as early as 2000

Corporate + Legal

Gain the intelligence you need for forensic accounting, securities law work, due diligence, and business development using our Corporate + Legal module. This integrated collection of databases focuses on actions, disclosures, and correspondence by companies, advisors, regulators, and investors.

Scope: All '33 & '34 Act Filers, Registered offerings on a major U.S. exchange, Tickered SEC Registrants | SEC Filings and Investor Relations | Data as early as 2000

Accounting + Oversight

Designed to illuminate the big picture of the audit and regulatory environment, our proprietary taxonomy brings the most accurate and valuable data on the market. Use Accounting + Oversight to focus on Critical Audit Matters, PCAOB Reports, or leverage our Accounting Quality Risk Matrix to identify companies with significant risks.

Scope: All '34 Act Filers, SEC Registrants (Foreign & Domestic), PCAOB Registered Audit Firms | SEC Filings, PCAOB Filings, State Documents, Firm Press Releases, Press | Data as early as 2000

Funds + Securities

Gain unparalleled insight into mutual funds and securities. Enhance your understanding of market trends and position yourself for success with our comprehensive and unique data points. Put yourself ahead of the competition by letting us do the data collection so you can focus on groundbreaking analysis.

Scope: Corporate Bonds issued in the U.S, All '34 & '40 Act Filers | SEC Filings, Third Party | Data as early as 1990

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Canada

Our expert analysis on SEDAR filings ensures your deep understanding of the Canadian audit marketplace - whether it is trend awareness, market share breakdowns, or industry composition. The breadth of our data will arm you with the right tools for powerful and efficient data analysis.

Scope: Canadian Issuers | SEDAR Filings, NI 51-102 – Section 4.1 | Data as early as 2000

Europe

Audit Analytics compiles Europe-specific information all in one place. Gathering data from anywhere can be difficult, but Europe presents unique challenges with differing languages and regulatory bodies. Our Europe module brings together data such as Europe-specific audit fees, key audit matters, and audit opinions. Take the headache out of data collection – join your colleagues who use Audit Analytics and let us do the leg work.

Scope: Public companies with equity listed on EEA and Swiss regulated exchanges, public companies with equity listed on select EEA unregulated exchanges | Transparency Reports, Annual Reports, Annual General Meetings, Press Releases, Glossy Annual Reports, and other regulatory filings | Data as early as 2010

OIA- Govt + Non-Profit

Bring into focus hundreds of thousands of audits of non-public entities with the leading ERISA and Non-Profit Single Audit databases. This module covers boutique industries, from broker dealers to benefit plans. Our high-quality data ensures you can quickly identify market trends and act on engagement opportunities.

Scope: Employee benefit plans subject to ERISA 1974, all organizations that receive \$750,000 or more in federal funding | Form 5550, 5500 SF, IRS | Data as early as 1999

OIA- Financial Services

Step ahead of your competition with the highest quality financial services data available. Our team of experts meticulously collects and reviews data to ensure the highest accuracy on the market. We provide data you can trust so you can focus on analysis and business development.

Scope: Banking institutions, NAIC-filing insurance companies, all private funds reported by registered and exempt advisers on Form ADV, all advisers filing Form ADV | Federal Reserve supervised banking institutions filing forms FR-Y9C, FR Y-9LP or FR Y-9SP, x-17a-5, Form ADV | Data as early as 2001