Funds + Securities PLEDGED SECURITIES

Many companies prohibit pledging. Find out who risks it.

The Pledged Securities database makes it easy to know which insiders have pledged equity shares as collateral, potentially exposing their company to hidden risks. Many advisers consider share pledging to be a poor governance practice, but this critical information is typically buried in footnotes to tables. With decades of experience collecting and cleaning this kind of data, our products are very easy to use and fully comprehensive.

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- Easily monitor for risks associated with share pledging
- Stay informed of possible impacts to ownership, independence, and insider trading rules
- · Benchmark against the whole population of US listed companies

FEATURED DATA POINTS:

- Shareholder Name
- Effective Date of Ownership
- Number of Shares Pledged
- Total Shares Beneficially Owned
- Total Shares Outstanding
- Disclosure Text

Search by Ticker or CIK Code				Filter On		
	Enter a series by spaces or Ticker/CIK Lo	commas.	bols or CIK codes se		ap ~ ~	Company Location
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Company	Entity / Person Name	Effective Date		Total Shares Beneficially Owned	% of Shares Pledged to TSBO	Total Shares Outstanding
Company VORTHINGTON INDUSTRIES INC	John P McConnell	2020-07-31	9,415,773	17,480,847	% of Shares Pledged to <u>TSBO</u> 53.86%	Total Shares Outstanding 55,092,728
Company VORTHINGTON INDUSTRIES INC EDEX CORP	John P McConnell Frederick W. Smith	2020-07-31 2020-07-27	9,415,773 4,580,000	17,480,847 19,538,022	% of Shares Pledged to <u>TSBO</u> 53.86% 23.44%	Total Shares Outstanding 55,092,728 261,954,496
ORTHINGTON INDUSTRIES INC EDEX CORP VC CAPITAL, INC.	John P McConnell Frederick W. Smith Arthur Lipson	2020-07-31 2020-07-27 2020-08-01	9,415,773 4,580,000 62,758	17,480,847 19,538,022 202,123	% of Shares Pledged to <u>TSBO</u> 53.86% 23.44% 31.05%	Total Shares Outstanding 55,092,728 261,954,496 17,725,118
ompany OMPATHINGTON INDUSTRIES INC EDEX CORP IVC CAPITAL, INC. ELIOS TECHNOLOGIES, INC.	John P McConnell Frederick W. Smith Arthur Lipson Christine L Koski	2020-07-31 2020-07-27	9,415,773 4,580,000	17,480,847 19,538,022	% of Shares Pledged to <u>TSBO</u> 53.86% 23.44%	Total Shares Outstanding 55,092,728 261,954,496
KORPANY KORTHINGTON INDUSTRIES INC EDEX CORP ILLOS TECHNOLOGIES, INC. INVA BIO-TECH PRODUCTS GROUP CORP	John P McConnell Frederick W. Smith Arthur Lipson	2020-07-31 2020-07-27 2020-06-01 2020-06-01	9,415,773 4,580,000 62,758 949,646	17,480,847 19,538,022 202,123 1,082,938	% of Shares Pledged to <u>TSBO</u> 53.86% 23.44% 31.05% 87.69%	Total Shares Outstanding 55,092,728 281,954,496 17,725,118 32,081,445
Company VORTHINGTON INDUSTRIES INC EDEX CORP INC CAPITAL, INC. ELIOS TECHNOLOGIES, INC. IWA BIO-TECH PRODUCTS GROUP CORP MWARE, INC.	John P McConnell Frederick W. Smith Arthur Lipson Christine L Koski Feng Li Dell Technologies Inc.	2020-07-31 2020-07-27 2020-08-01 2020-08-01 2020-05-29	9,415,773 4,580,000 62,758 949,646 1,965,326	17,480,847 19,538,022 202,123 1,082,938 1,965,326	% of Shares Pledged to <u>TSBO</u> 53.88% 23.44% 31.05% 87.09% 100.00%	Total Shares Outstanding 55,092,728 261,954,496 17,725,118 32,081,495 23,738,781
Company WORTHINGTON INDUSTRIES INC EDEX.CORP INC CAPITAL, INC IELIOS TECHNOLOGIES, INC. IWINA BO-TECH PRODUCTS GROUP CORP MWARE, INC.	John P McConnell Frederick W. Smith Arthur Lipson Christine L Koski Feng Li	2020-07-31 2020-07-27 2020-08-01 2020-08-01 2020-05-29 2020-05-18	9,415,773 4,580,000 62,758 949,846 1,965,326 100,000,000	17,480,847 19,538,022 202,123 1,082,938 1,965,326 337,900,441	% of Shares Pledged to <u>TSBO</u> 53.86% 23.44% 31.05% 87.99% 100.00% 29.59%	Total Shares Outstanding 55,092,728 261,954,496 17,725,118 32,081,445 23,738,781 111,828,394
Continuous nous neutrals inc Cost and a cost of the cost of the Inc Cost of the cost of the cost of the Incost of the cost of the cost of the Invariant of the cost of the cost of the Invariant of the cost of the cost of the International Cost of the cost of the cost of the International Cost of the cost of the cost of the International Cost of the cost of the cost of the cost of the International Cost of the cost of the cost of the cost of the International Cost of the cost of the cost of the cost of the International Cost of the cost of th	John P McConnell Frederick W. Smith Arthur Lipson Christine L Koski Feng Li Dell Technologies Inc. K. W. Diepholz	2020-07-31 2020-07-27 2020-06-01 2020-06-01 2020-05-29 2020-05-18 2020-05-14	9,415,773 4,580,000 62,758 949,646 1,965,326 100,000,000 1,864,000	17,480,847 19,538,022 202,123 1,082,938 1,965,326 337,900,441 1,864,000	% of Shares Pledged to <u>TSBQ</u> 53.86% 23.44% 31.05% 87.69% 100.00% 29.59%	Total Shares Outstanding 55,092,728 281,954,496 17,725,118 32,081,445 23,738,781 1111,828,394 17,722,825
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Initiation industries inc EDEX.CORP INC.CAPITAL.INC.CORP INC.CAPITAL.INC.CORP. INC.APITAL.INC.CORP. INTERESCIENCE INC INTERESCIENCE INTERE	John P McConnell Frederick W. Smith Arthur Lipson Christine L Koski Feng Li Dell Technologies Inc. K. W. Diepholz EBC 2013 Family Trust Daniel G Cohen	2020-07-31 2020-07-27 2020-08-01 2020-05-01 2020-05-29 2020-05-18 2020-05-14 2020-05-04	9,415,773 4,580,000 62,758 949,646 1,965,326 100,000,000 1,864,000 80,000 160,325	17,480,847 19,538,022 202,123 1,082,938 1,965,326 337,900,441 1,884,000 80,000 28,424,757	% of Shares Pledged to <u>TSBO</u> 53.86% 23.44% 31.05% 100.00% 29.59% 100.00% 100.00%	Total Shares Outstanding 55,092,728 281,984,496 17,725,118 32,081,445 23,738,781 111,828,394 17,722,825 1,246,710 1,246,710
AVAILABLE IN CONTRACTION NOUISTRES INC EDEX COOP RELOS TECHNOLOGES INC. IELOS TECHNOLOGES INC. INVARESURCES ON CONTRACTION VIVARESURCES INC. VIVARESURCES INC. JAMAR 50 Inc. Datas 50 Inc. Datas 50 Inc. Datas 50 Inc.	John P McConnell Frederick W. Smith Arthur Lipson Christine L Koski Feng Li Dell Technologies Inc. K. W. Diepholz EBC 2013 Family Trust Daniel G Cohen Gary L Carano	2020-07-31 2020-07-27 2020-08-01 2020-05-09 2020-05-29 2020-05-18 2020-05-04 2020-05-04 2020-05-04 2020-05-04	9,415,773 4,580,000 62,758 949,646 1,965,326 100,000,000 1,864,000 1,864,000 1,864,000 1,864,000 160,325 199,174	17,480,847 19,538,022 202,123 1,082,938 337,900,441 1,864,000 80,000 28,422,757 464,551	% of Shares Pledged to <u>TSBO</u> 23.44%, 31.05%, 700.00%, 20.95%, 100.00%, 0.55%, 42.87%,	Total Shares Outstanding 55,092,728 261954,409 17,725,118 32,081,445 23,738,781 111,828,304 17,722,825 1,246,710 1,246,710 9,8,65,678
Company DORTHWATCON INDUSTRIES INC EDEX.CORP IELOS TECHNOLOGES, INC. INVARE, INV. INVARE, INVARE, INV. INVARE, INVARE, INVARE	John P McConnell Frederick W. Smith Arthur Lipson Christine L Koski Feng Li Dell Technologies Inc. K. W. Diepholz EBC 2013 Family Trust Daniel G Cohen Gary L Carano J. Stanley Fredrick	2020-07-31 2020-07-27 2020-08-01 2020-06-01 2020-05-29 2020-05-18 2020-05-14 2020-05-04 2020-05-04 2020-04-24 2020-04-24	9,415,773 4,580,000 62,758 949,646 1,965,326 100,000,000 1,864,000 80,000 160,325 199,174	17,480,847 19,538,022 202,123 1,062,938 337,900,441 1,864,000 28,424,757 464,551 370,644	% of Shares Pledged to <u>TSBO</u> 53.88% 31.05% 100.00% 100.00% 100.00% 42.27% 10.75%	Total Shares Outstanding 55,092,728 281554,498 17,725,118 32,081,445 23,738,781 111,828,394 17,722,8125 1,246,710 1,246,710 98,656,678 2,010,240
Sonpany ORTHWATCH INOUSTRIES INC EDEX CORP RELOS TECHNOLOGIES, INC. IELOS TECHNOLOGIES, INC. INVARESURGE NO JAMINA SO INC. ANNA TECHNOLOGUES GROUP CORP. INVARESURGE NO JAMINA TECHNOLOGUES GROUP CORP. JAMINA CONTERS INC INVERSION INC CONTENTS INC	John P McConnell Frederick W. Smith Arthur Lipson Christine L Koski Feng L Dell Technologies Inc. K. W. Diepholz EBC 2013 Family Trust Daniel G Cohen Gary L Carano J. Stanley Fredrick Robert S Taubman	2020-07-31 2020-07-27 2020-06-01 2020-06-01 2020-05-05-18 2020-05-18 2020-05-14 2020-05-14 2020-05-04 2020-04-24 2020-04-24 2020-04-22 2020-04-22	9,415,723 4,580,000 62,758 9440,646 1,065,326 100,000,000 180,000 180,020 199,174 40,000 3,653,742 3,552,084 2,000,000	17 460,847 19,538,022 2020,123 1,062,938 1,065,326 337,900,441 1,844,000 28,424,757 444,551 370,644 25,607,759 25,507,855	% of Shares Piedged to 35800 53.86% 31.05% 61.06% 51.06% 31.05% 3	Total Shares Outstanding 65,992,728 201,954,498 17,725,498 11,1282,394 17,722,825 1,246,710 1,246,710 1,246,710 1,246,710 98,656,778 2,101,240 61,008,379 61,008,379 61,088,311
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Zonpany WORTINICTON INDUSTRIES INC TEDEX CORP MUCA SETAL INC MUCA	John P McConnell Frederick W. Smith Arthur Lipson Christine L Koski Feng Li Dell Technologies Inc. K. W. Diepholz EBC 2013 Family Trust EBC 2013 Family Trust Daniel G Cohen Gary L Carano J. Stanley Fredrick Robert S Taubman William S Taubman	2020-07-31 2020-07-27 2020-06-01 2020-06-01 2020-05-05-18 2020-05-18 2020-05-14 2020-05-14 2020-05-04 2020-04-24 2020-04-24 2020-04-22 2020-04-22	9,415,723 4,580,000 62,758 9440,646 1,065,326 100,000,000 180,000 180,020 199,174 40,000 3,653,742 3,552,084 2,000,000	17 460,847 19,538,022 2020,123 1,062,938 1,065,326 337,900,441 1,844,000 28,424,757 444,551 370,644 25,607,759 25,507,855	% of Shares Piedged to TSBOO 53.86% 23.46% 31.05% 31.05% 32.95% 3	Total Shares Outstanding 65,992,728 201,954,498 17,725,498 11,1282,394 17,722,825 1,246,710 1,246,710 1,246,710 1,246,710 98,656,778 2,101,240 61,008,379 61,008,379 61,088,311

OFFERENCE STREET S	FLX] // INC (Until 2002-03-06)				ŔĦ	RISK MATRIX DOWNLOAD XL8X	
Overview	Company Info Governance	Legal					
Audit Opinion - Cha	nge in Engagement Partner 📕 Disclosure Control	s 📕 CFO Change 📕 Litigation - Sh	areholder Actions	F Audit Fees - Sign	ificant Non-Audit Fees	Pledged Securities	
Ticker	NFLX (Nasdag Global Market)	Auditor	Ernst & Y	oung LLP since 2012			
IRS Number	77-0467272	CIK Code	1065280	SEC Filings '34	Act Filer		
Fiscal Year End	December 31st	Filer Status	Large Ac	celerated Filer as of 30) September 2020		
Business Address	100 WINCHESTER CIRCLE LOS GATOS, CALIFORNIA 95032	Mailing Address		CHESTER CIRCLE TOS, CALIFORNIA 95	6032-7606		
	(408) 540-3700						
IPO Year	2002	Company Website	www.net	lix.com			
Index	Member Nasdaq 100 Member S&P 500 Member Russell 1000	Industry	NAICS: 5 Data Pro	pe Rental 18210 <i>cessing, Hosting, and</i> istant Director : 19	Related Services		
Market Cap	\$215,701,994,706	Shares Outstanding	441,795,	800			
Revenue (yr)**	\$23,819,048,000	Float	432,139	92			
Revenue (mrq)	\$6,435,637,000						
Earnings (mrq)	\$789,976,000	Last Report	10-Q (20	20-10-22)			
Assets	\$38,622,543,000	Last Securities Registration	S-8 (202	0-06-26)			
Transfer Agent	Computershare Inc /TA	Outside Counsel	Skadden	Arps Slate Meagher 8	Flom LLP More Detail	>>	
Shareholders	Common 590 (2020-01-29)	State of Incorporation	Delaware	•			
Income Statement Ba	lance Sheet <u>Cash Flow</u> Market Cap as of 2020-10 Committees Compensation R	-26. Financial info as of 2020-09-30. ** T atification Pledged Securities	railing twelve mon	ths.			
Person or Entity	Number of Shares Pledged	Total Shares Owned Pe	ercent Pledged	Date of Holding	Disclosure Text	Filing	
Jay C Hoag	900,000	4,325,015	20.81	2020-04-08	F	2020-04-22 <u>DEF 14</u>	IA
Jay C Hoag	900,000	4,989,977	18.04	2019-04-08	E	2019-04-23 DEF 14	A

Funds + Securities PLEDGED SECURITIES

The Audit Analytics Pledged Securities database tracks all instances of beneficial owners who have pledged their shares as collateral. It is a structured database that has been cleaned by significant manual review, ensuring consistency and accuracy to a very high standard. Each record contains information on the person or entity that has pledged their shares, the number of shares pledged, and the percentage pledged versus their ownership stake as well as the total shares outstanding of the company. The text of the disclosure is extracted and available for easy reference.

We make it easy to monitor share pledging across the entire universe of SEC filers.

COVERAGE:

'34 Filer Act Filers

DATA SINCE:

January 1, 2015*

SOURCE:

DEF 14A | 10-K | 10-K/A | 20-F | 20-F/A

DATA ACCESSIBILITY:

Online Search | Data Feed | AQRM Flag

*As of initial release. Full historical backfill to 2007 underway.

Accounting Quality + Risk Matrix (AQRM) 🖾 AQRM Legend 🛛 🍟 AQR	W Walloc		Si C	gnificant Rical	Close
Auditor: Ernst & Young LLP since 2012 Flag Name	2020	2019	2018	2017	201
AUDITORS	LULU	2010	2010	2011	201
Auditor Ratification - Significant Vote Against					
Audit Opinion - Going Concern					
Audit Opinion - Change in Engagement Partner					
Auditor Change					
Audit Fees - Significant Change					
Audit Fees - High Outlier					
Audit Fees - Significant Non-Audit Fees					
FINANCIAL REPORTING					
Out of Period Adjustment					
Impairments					
Change in Accounting Estimates					
Financial Restatement					
Accounting Disclosure Complexity					
Deviation from Benford's Law					
Beneish M-Score					
Altman Z-Score					
CONTROLS					
Internal Controls					
Disclosure Controls					
Late Filings					
STAKEHOLDERS					
Shareholder Activism					
Litigation - Regulatory					
Litigation - Civil Rights					
Litigation - Employment and Labor					
Litigation - Environmental					
Litigation - Intellectual Property					
Litigation - Corruption and Illegal Activities					
Litigation - Shareholder Actions					
INSIDERS					
CEO Change					
CFO Change					

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Serving more than 450 institutions and thousands of professionals globally, Audit Analytics provides corporate gatekeepers and stakeholders with unique data and insights. Through our online platform and enterprise data feeds, Audit Analytics is used every day to empower accounting, financial, and academic professionals with the informative content critical to impact their work. As a trusted source for research, our data is collected, organized, and analyzed with rigor by our expert team of researchers.

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