

NEW!

# FUNDS + SECURITIES

The Funds + Securities module is a new Audit Analytics bundle designed to give users further insight into funds and securities registered with the SEC.

- Market Intelligence
- Risk Assessment
- Independence Monitoring
- Compliance Monitoring

## FEATURED DATABASES

### Mutual Fund Auditor Engagements

A database with full website and feed functionality of current auditor relationships for '40 Act filer funds, at the fund level. Key data points include: Fund Name | Series Number | Fund Type | Auditor | Auditor Tenure

### Fund Audit Fees

A database with full website and feed functionality giving historical audit fee data on '40 Act filer funds. Key data points include: Fund Name | Series Number | Fund Type | Auditor | Audit Fees | Non-Audit Fees

### Mutual Fund Reorganizations

A database with full website and feed functionality giving historical reorganization data on '40 Act filer funds. Key data points include: Fund Name | Series Number | Fund Type | LEI | Registrant Name | Registrant CIK | Fund Advisor

### Pledged Securities

A database with full website and feed functionality, as well as an AQRM flag, giving information on company insiders who pledge their equity holdings as collateral for personal investment accounts. Key data points include: Person/Entity | Shares Pledged | Shares Beneficially Owned

### Debt Securities

A database with full website and feed functionality giving historical bond data information on issuances by anyone with a CIK code in our database. Adds richness and depth of data to company profiles and helps users in building risk profiles for companies.

**US Debt Securities**

Search by Ticker or CIK Code (Optional: by needles, commas or spaces) Ticker/CIK Lookup

Filter On: Status, Company Location, Issue Date, Issue Amount, Maturity Date, Maturity Price, Revenues, Outstanding Amount, Market Cap.

**NETFLIX INC (NFLX)**  
Formerly: NETFLIX COM INC (Unit 2002-03-04)

Person or Entity	Number of Shares Pledged	Total Shares Owned	Percent Pledged	Date of Holding	Disclosure Text	Filing
Jay C Hoag	900,000	4,325,015	20.81	2020-04-08	2020-04-22 DEC 146	
Jay C Hoag	900,000	4,989,977	18.04	2019-04-08	2019-04-23 DEC 146	

**Voya Index Solution 2020 Portfolio**

**Overview**

Series ID	5000034116	Auditor	KPMG LLP (since 2016)
Tickers	IDXAX - Class ADV	Recent Period End Date	2020-03-31
LEI	549300ZQP7PWRYLBC11	Active/Inactive	Inactive
Fund Type	Open-Ended Fund for V.I.P.	Fund Closed Date	2020-08-07
Feeder Fund	No	Registrant CIK	1039001
Is Fund of Funds	Yes	Registrant Name	Voya PARTNERS INC
Non-Diversified	No	Is Registrant Active	Yes
Total Investment	\$386,263,771	Location	SCOTTSDALE, AZ

**Investment Objective**  
Until the day prior to its Target Date (defined below), the Portfolio seeks to provide total return consistent with an asset allocation targeted at retirement in approximately 2026. On the Target Date, the Portfolio's investment objective will be to seek to provide a combination of total return and stability of principal consistent with an asset allocation targeted to retirement.

**Investment Strategy**  
Under normal market conditions, the Portfolio invests at least 80% of its net assets (plus borrowings for investment purposes) in a combination of Underlying Funds which are passively managed index funds. The Portfolio will provide shareholders with at least 60 days' prior written notice of any change in this investment policy. The Underlying Funds may or may not be affiliated with the investment adviser. The Underlying Funds invest in U.S. stocks, international stocks, U.S. bonds, and other deb... full description

Reorg Date	Reorg Type	Acquiring Fund	Series Number	Fund Type	Target Fund	Series Number	Fund Type
2020-08-07	Merged into affiliated Investment Company	Voya Index Solution Income Portfolio	5000021229	Open-Ended Fund for V.I.P.	Voya Index Solution 2020 Portfolio	5000034116	Open-Ended Fund for V.I.P.

# FUNDS + SECURITIES

## ABOUT US

Audit Analytics is an independent research provider of audit, regulatory, and disclosure intelligence. Through an easy-to-use online interface, Audit Analytics' comprehensive research is used daily around the world by the accounting, investment and legal communities for market intelligence, due diligence, compliance monitoring and trending.

Audit Analytics tracks in detail the issues related to audit, compliance, governance, corporate actions and federal litigation from a broad range of public disclosures, including: approximately 60,000 SEC registrants (including foreign and Canadian filers); over 7,000 Canadian SEDAR filers; roughly 8,000 European companies; more than 5,000 insurance companies filing with the NAIC; over 17 million ERISA plans filing IRS Form 5500; and more.

### Accounting and Professional Firms

- Market Share Analysis
- Business Development

### Law Firms

- SEC Filing Reviews
- Disclosure Trend Awareness

### Investment Managers

- Risk Assessment
- Portfolio Screening

### Corporate Reporting Professionals

- Peer Benchmarking
- SEC Comment Letter Reviews

### Universities & Academics

- Peer Reviewed Data
- Empirical Research

### Insurance Providers

- Due Diligence
- Informed Underwriting

## OUR DATA OFFERINGS

### Audit + Compliance

Track and understand customer and competitor activities and trends related to audit, regulatory and disclosure issues. The Audit + Compliance module addresses core informational demands for a variety of research needs including market intelligence, due diligence, benchmarking, compliance monitoring and trend analysis.

### Corporate + Legal

An integrated collection of databases focused on actions, disclosures, and correspondence by companies, advisors, regulators and investors. Leading investment and legal professionals use this intelligence to achieve cost and time savings for accounting and securities law work, due diligence, and business development.

### Accounting + Oversight

Designed to illuminate the big picture of the audit and regulatory environment. Gain insight into audit and accounting quality, trends in PCAOB inspections, cybersecurity breaches, audit firm events, shareholder satisfaction with auditors and more.

### Other Independent Audits (OIA)

Bring into focus hundreds of thousands of audits of non-public entities. Comprising two separate modules - Financial Services and Government & Non-Profit - this product covers a number of boutique industries, from broker dealers to benefit plans. These tools can help identify market and engagement opportunities.

### Canada (SEDAR)

Provides detailed research and expert analysis on over 7,000 Canadian public companies. We process filings required by the Canadian Securities Administrators that are submitted to the online SEDAR database, enabling powerful and efficient data analytics.

### Europe

Provides auditor and audit-related information on public companies and EEA Public Interest Entities (PIEs). Our core offerings include auditor engagements, audit fees, audit opinions, auditor changes, key audit matters (KAMs), transparency reports, and financial restatements.

### Data Feeds

Integrate Audit Analytics data with internal information systems like CRM, compliance and risk management systems. Audit Analytics data feeds are designed for easy development of custom applications and dynamic reports.

### Custom Research

Apply Audit Analytics expertise to better understand specific public company disclosure trends and identify new business opportunities. Our analysts and development teams are available to help with custom research projects and expert analysis.

## FIND OUT MORE

For complete information about our audit, regulatory, and disclosure intelligence, contact us today to schedule a personalized Audit Analytics demonstration.