

Ideagen Audit Analytics

Funds + Securities

The Funds + Securities module is a new Ideagen Audit Analytics bundle designed to give users further insight into funds and securities registered with the SEC.



**Market
intelligence**



**Risk
assessment**



**Independence
monitoring**



**Compliance
monitoring**

Featured databases

▶ Mutual fund auditor engagements

A database with full website and feed functionality of current auditor relationships for '40 Act filer funds, at the fund level. Key data points include: Fund Name | Series Number | Fund Type | Auditor | Auditor Tenure.

▶ Fund audit fees

A database with full website and feed functionality giving historical audit fee data on '40 Act filer funds. Key data points include: Fund Name | Series Number | Fund Type | Auditor | Audit Fees | Non-Audit Fees

▶ Mutual fund reorganizations

A database with full website and feed functionality giving historical reorganization data on '40 Act filer funds. Key data points include: Fund Name | Series Number | Fund Type | LEI | Registrant Name | Registrant CIK | Fund Advisor

▶ Pledged securities

A database with full website and feed functionality, as well as an AQRM flag, giving information on company insiders who pledge their equity holdings as collateral for personal investment accounts. Key data points include: Person/Entity | Shares Pledged | Shares Beneficially Owned

▶ Debt securities

A database with full website and feed functionality giving historical bond data information on issuances by anyone with a CIK code in our database. Adds richness and depth of data to company profiles and helps users in building risk profiles for companies.

Income Statement Balance Sheet Cash Flow Market Cap as of 2023-06-25. Financial info as of 2023-06-30. ** Trailing twelve months. † Number of permanent full-time employees. Logos provided by Clearbit							
Executives Recent Changes Committees Compensation Ratification Pledged Securities Cybersecurity							
Person or Entity	Number of Shares Pledged	Total Shares Owned	Percent Pledged	Date of Holding	Disclosure Text	Filing	
Jay C Hoag	449,999	1,714,723	26.24	2022-04-04		2022-04-22 DEF 14A	
Jay C Hoag	900,000	2,624,183	34.30	2021-04-08		2021-04-23 DEF 14A	
Jay C Hoag	900,000	4,325,015	20.81	2020-04-08		2020-04-22 DEF 14A	
Jay C Hoag	900,000	4,989,977	18.04	2019-04-08		2019-04-23 DEF 14A	
Jay C Hoag	900,000	4,987,752	18.04	2018-04-09		2018-04-23 DEF 14A	
Jay C Hoag	900,000	6,428,870	14.00	2017-04-10		2017-04-24 DEF 14A	

Our data offerings

Accounting + Oversight

Designed to illuminate the big picture of the audit and regulatory environment. Gain insight into audit and accounting quality, trends in PCAOB inspections, shareholder satisfaction with auditors and more.

Audit + Compliance

Track and understand customer and competitor activities and trends related to audit, regulatory and issues.

The Audit + Compliance module addresses core informational demands for a variety of research needs including market intelligence, due diligence, benchmarking, compliance monitoring and trend analysis.

Funds + Securities

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Other Independent Audits (OIA)

Bring into focus hundreds of thousands of audits of non-public entities. Comprising two separate modules – Financial Services and Government & Non-Profit – this product covers a number of boutique industries, from broker dealers to benefit plans. These tools can help identify market and engagement opportunities.

Canada (SEDAR)

Provides detailed research and expert analysis on nearly 4,000 Canadian public companies.

We process filings required by the Canadian Securities Administrators that are submitted to the online SEDAR database, enabling powerful and efficient data analytics.

Europe

Provides auditor and audit-related information on public companies and EEA Public Interest Entities (PIEs). Our core offerings include auditor engagements, audit fees, audit opinions, auditor changes, key audit matters (KAMs), transparency reports, and financial restatements.

Data feeds

Integrate Ideagen Audit Analytics data with internal information systems like CRM, compliance and risk management systems. Ideagen Audit Analytics data feeds are designed for easy development of custom applications and dynamic reports.

Custom research

Apply Ideagen Audit Analytics expertise to better understand specific public company disclosure trends and identify new business opportunities. Our analysts and development teams are available to help with custom research projects and expert analysis.

About us

Ideagen Audit Analytics' comprehensive research is used daily around the world by the accounting, investment and legal communities for market intelligence, due diligence, compliance monitoring and trending.

Ideagen Audit Analytics tracks in detail the issues related to audit, compliance, governance, corporate actions and federal litigation from a broad range of public disclosures, including: approximately 60,000 SEC registrants (including foreign and Canadian filers); nearly 7,000 Canadian SEDAR filers; roughly 8,000 European companies; more than 5,000 insurance companies filing with the NAIC; over 17 million ERISA plans filing IRS Form 5500; and more.

Accounting and professional firms

- ▶ Market share analysis
- ▶ Business development

Law firms

- ▶ SEC filing reviews
- ▶ Disclosure trend awareness

Investment managers

- ▶ Risk assessment
- ▶ Portfolio screening

Corporate reporting professionals

- ▶ Peer benchmarking
- ▶ SEC comment letter reviews

Universities & academics

- ▶ Peer reviewed data
- ▶ Empirical research

Insurance providers

- ▶ Due diligence
- ▶ Informed underwriting