

ACCOUNTING + OVERSIGHT

The Accounting + Oversight module is designed to illuminate the big picture of the audit and regulatory environment. With this suite of databases, gain insight into audit quality, trends in PCAOB inspections, shareholder satisfaction with auditors, cybersecurity breaches and more.

- Auditor Intelligence
- Quality of Financial Reporting
- Due Diligence
- Compliance Monitoring

FEATURED DATABASES

Accounting and Auditing Enforcement Releases

Contains detailed information about SEC enforcement actions against public and private companies, auditors, law firms, and individuals.

Accounting Quality Risk Matrix

Designed to identify indicators of earnings management or issues with accounting quality, with key data points including red flags pertaining to a company's independent auditor, financial reporting, controls, stakeholders, litigation, and insiders.

Auditor Ratification

Contains the shareholder voting results for the ratification of independent auditors. Analyze by auditor, total votes (for, against, abstain and broker non-votes), and percentage against.

Changes in Accounting Estimates

Tracks all material disclosed changes in accounting estimates for SEC registrants (foreign and domestic) as disclosed in annual and quarterly filings. Key data points include the nature of the change, impact on income and EPS, and the period in which the change was disclosed.

Critical Audit Matters

Features Critical Audit Matters disclosed by independent auditors of SEC registrants. Key data points include normalized topic, text of the title and description, and the auditor's response.

Cybersecurity

Contains the disclosures and impacts of data breaches affecting public companies registered with the SEC, with key data points including date of breach, date of discovery, date of disclosure, records compromised, and costs.

Firm Events

Provides detailed information on any noteworthy change among audit firms which can be used for market analysis, business development, and due diligence.

Impairments

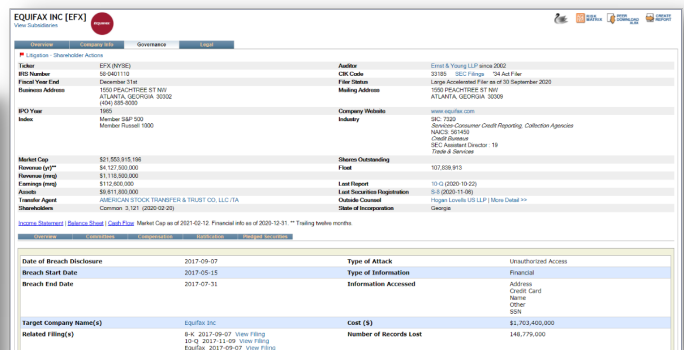
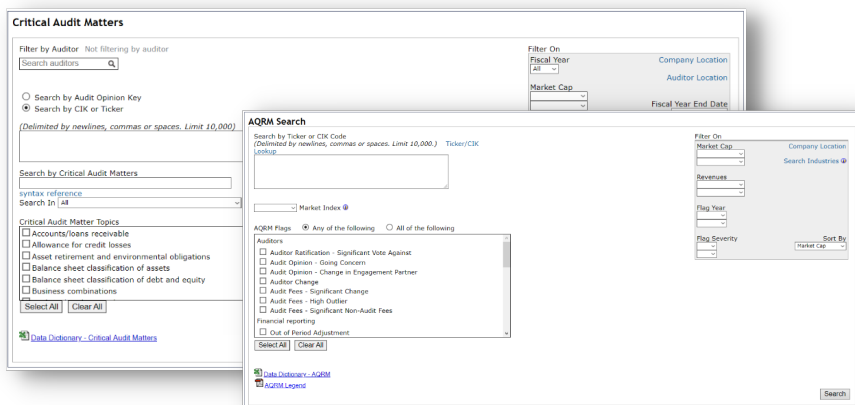
Captures impairments as disclosed by SEC registrants in XBRL Annual Filings and 8-Ks. Key data points include reasons and characteristics of impairment, impact on pretax income, and impairment text.

Out of Period Adjustments

Tracks corrections of errors in prior financial statements that do not materially affect past or present financials. Key data points include adjustment period, impact on income and equity, and text of the disclosure. Each adjustment is categorized according to our proprietary taxonomy.

PCAOB Inspection Reports

Composed of all PCAOB reports assessing a firm's compliance with professional and regulatory audit standards. Each report has been analyzed and categorized according to our proprietary taxonomy.



ACCOUNTING + OVERSIGHT

ABOUT US

Audit Analytics is an independent research provider of audit, regulatory, and disclosure intelligence. Through an easy-to-use online interface, Audit Analytics' comprehensive research is used daily around the world by the accounting, investment and legal communities for market intelligence, due diligence, compliance monitoring and trending.

Audit Analytics tracks in detail the issues related to audit, compliance, governance, corporate actions and federal litigation from a broad range of public disclosures, including: approximately 60,000 SEC registrants (including foreign and Canadian filers); nearly 7,000 Canadian SEDAR filers; roughly 8,000 European companies; more than 5,000 insurance companies filing with the NAIC; over 17 million ERISA plans filing IRS Form 5500; and more.

Accounting and Professional Firms

- Market Share Analysis
- Business Development

Law Firms

- SEC Filing Reviews
- Disclosure Trend Awareness

Investment Managers

- Risk Assessment
- Portfolio Screening

Corporate Reporting Professionals

- Peer Benchmarking
- SEC Comment Letter Reviews

Universities & Academics

- Peer Reviewed Data
- Empirical Research

Insurance Providers

- Due Diligence
- Informed Underwriting

OUR DATA OFFERINGS

Funds + Securities

The Funds + Securities module is a new Audit Analytics bundle designed to give users further insight into funds and securities registered with the SEC.

Corporate + Legal

An integrated collection of databases focused on actions, disclosures, and correspondence by companies, advisors, regulators and investors. Leading investment and legal professionals use this intelligence to achieve cost and time savings for accounting and securities law work, due diligence, and business development.

Audit + Compliance

Track and understand customer and competitor activities and trends related to audit, regulatory and issues. The Audit + Compliance module addresses core informational demands for a variety of research needs including market intelligence, due diligence, benchmarking, compliance monitoring and trend analysis.

Other Independent Audits (OIA)

Bring into focus hundreds of thousands of audits of non-public entities. Comprising two separate modules - Financial Services and Government & Non-Profit - this product covers a number of boutique industries, from broker dealers to benefit plans. These tools can help identify market and engagement opportunities.

Canada (SEDAR)

Provides detailed research and expert analysis on nearly 4,000 Canadian public companies. We process filings required by the Canadian Securities Administrators that are submitted to the online SEDAR database, enabling powerful and efficient data analytics.

Europe

Provides auditor and audit-related information on public companies and EEA Public Interest Entities (PIEs). Our core offerings include auditor engagements, audit fees, audit opinions, auditor changes, key audit matters (KAMs), transparency reports, and financial restatements.

Data Feeds

Integrate Audit Analytics data with internal information systems like CRM, compliance and risk management systems. Audit Analytics data feeds are designed for easy development of custom applications and dynamic reports.

Custom Research

Apply Audit Analytics expertise to better understand specific public company disclosure trends and identify new business opportunities. Our analysts and development teams are available to help with custom research projects and expert analysis.



FIND OUT MORE

For complete information about our audit, regulatory, and disclosure intelligence, contact us today to schedule a personalized Audit Analytics demonstration.