# **Ideagen Audit Analytics**

**Accounting + Oversight** 

The Accounting + Oversight module is designed to illuminate the big picture of the audit and regulatory environment. With this suite of databases, gain insight into audit quality, trends in PCAOB inspections, shareholder satisfaction with auditors, cybersecurity breaches and more.









Compliance monitoring

# Featured databases

Accounting and auditing enforcement releases Contains detailed information about SEC enforcement actions against public and private companies, auditors, law firms, and individuals.

# Accounting quality risk matrix Identify indicators of earnings management or issues with accounting quality.

### **Auditor ratification**

Contains the shareholder voting results for the ratification of independent auditors. Analyze by auditor, total votes (for, against, abstain and broker non-votes), and percentage against.

# Changes in accounting estimates

Tracks all material disclosed changes in accounting estimates for SEC registrants (foreign and domestic) as disclosed in annual and quarterly filings.

#### Critical audit matters

Features critical audit matters disclosed by independent auditors of SEC registrants.

# Cybersecurity

Contains the disclosures and impacts of data breaches affecting public companies registered with the SEC.

#### Firm events

Provides detailed information on any noteworthy change among audit firms which can be used for market analysis, business development, and due diligence.

#### Impairments

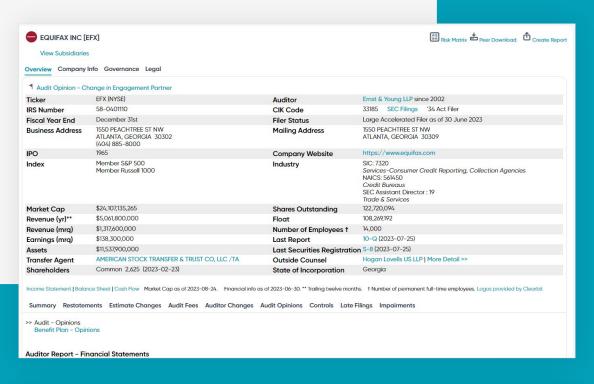
Captures impairments as disclosed by SEC registrants in XBRL annual filings and 8-Ks. Key data points include reasons and characteristics of impairment, impact on pretax income, and impairment text

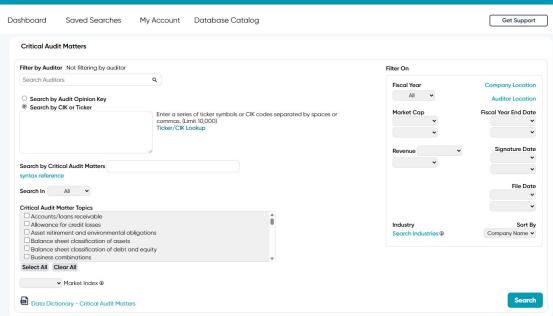
# Out of period adjustments

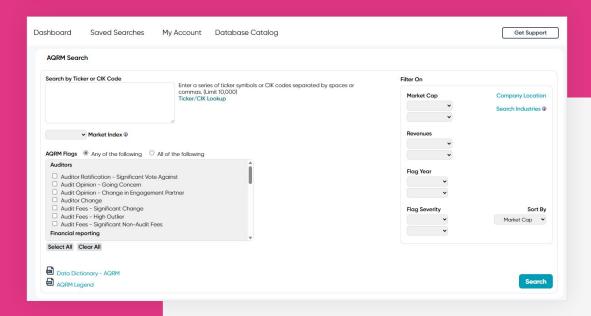
Tracks corrections of errors in prior financial statements that do not materially affect past or present financials. Key data points include adjustment period, impact on income and equity, and text of the disclosure.

# PCAOB inspection reports

Composed of all PCAOB reports assessing a firm's compliance with professional and regulatory audit standards.







# Our data offerings

#### **Funds + Securities**

The Funds + Securities module is a new Ideagen Audit Analytics bundle designed to give users further insight into funds and securities registered with the SEC.

# **Corporate + Legal**

An integrated collection of databases focused on actions, disclosures, and correspondence by companies, advisors, regulators and investors. Leading investment and legal professionals use this intelligence to achieve cost and time savings for accounting and securities law work, due diligence, and business development.

#### **Audit + Compliance**

Track and understand customer and competitor activities and trends related to audit, regulatory and issues. The Audit + Compliance module addresses core informational demands for a variety of research needs including market intelligence, due diligence, benchmarking, compliance monitoring and trend analysis.

# Other Independent Audits (OIA)

Bring into focus hundreds of thousands of audits of non-public entities. Comprising two separate modules - Financial Services and Government & Non-Profit - this product covers a number of boutique industries, from broker dealers to benefit plans. These tools can help identify market and engagement opportunities.

# Canada (SEDAR)

Provides detailed research and expert analysis on nearly 4,000 Canadian public companies. We process filings required by the Canadian Securities Administrators that are submitted to the online SEDAR database, enabling powerful and efficient data analytics.

#### **Europe**

Provides auditor and audit-related information on public companies and EEA Public Interest Entities (PIEs). Our core offerings include auditor engagements, audit fees, audit opinions, auditor changes, key audit matters (KAMs), transparency reports, and financial restatements.

# **Data Feeds**

Integrate Ideagen Audit Analytics data with internal information systems like CRM, compliance and risk management systems. Ideagen Audit Analytics data feeds are designed for easy development of custom applications and dynamic reports.

# **Custom Research**

Apply Ideagen Audit Analytics expertise to better understand specific public company disclosure trends and identify new business opportunities. Our analysts and development teams are available to help with custom research projects and expert analysis.

**About us** 

Ideagen Audit Analytics' comprehensive research is used daily around the world by the accounting, investment and legal communities for market intelligence, due diligence, compliance monitoring and trending.

Ideagen Audit Analytics tracks in detail the issues related to audit, compliance, governance, corporate actions and federal litigation from a broad range of public disclosures, including: approximately 60,000 SEC registrants (including foreign and Canadian filers); nearly 7,000 Canadian SEDAR filers; roughly 8,000 European companies; more than 5,000 insurance companies filing with the NAIC; over 17 million ERISA plans filing IRS Form 5500; and more.

# Accounting and professional firms

- Market share analysis
- ▶ Business development

#### Law firms

- ▶ SEC filing reviews
- ▶ Disclosure trend awareness

# **Investment managers**

- ▶ Risk assessment
- Portfolio screening

# Corporate reporting professionals

- ▶ Peer benchmarking
- ▶ SEC comment letter reviews

#### **Universities & academics**

- ▶ Peer reviewed data
- ▶ Empirical research

# **Insurance providers**

- ▶ Due diligence
- Informed underwriting

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