

"If one is looking to perform any kind of risk assessment – whether SOX Section 404 Entity Level, AS 5, or SAS 103 to 109 or other – one cannot do it expertly, comprehensively, or justifiably without access to this data set. Using this data set will save you time, money and anxiety. It is the only data set of its kind in the world."

Bob Benoit, CPA
 Founder, Lord & Benoit, LLP
 Section 404 Compliance Consultants
 Member, COSO Small Business
 Advisory Group
 Member, AICPA Peer Review Group

KEY BENEFITS

All disclosure issues are individually reviewed and categorized with Audit Analytics' proprietary issues taxonomy for normalization and relevance to the following:

Sarbanes-Oxley Disclosures

- Instantly identify public companies that have non-effective Section 404 and Section 302 disclosure controls.
- Isolate Sarbanes-Oxley compliance issues that are pervasive in a specific industry or peer group.
- Analyze peer groups by type of disclosure issue.

Compliance Disclosures

- Quickly identify all companies within a particular type of financial restatement by auditor or peer group.
- Analyze financial restatements by disclosure date, affected period and specific issues.
- Track specific reasons for late filers' non-timely disclosures.

On Demand Custom Reports

- Create comprehensive, custom reports based on audit, regulatory and disclosure reporting issues.
- Instantly identify key risks and trends that are prevalent in—or absent from—a specified industry.

E-mail Alerts

Receive daily, weekly, or monthly e-mail alerts for the following audit, compliance and governance events:

- Financial Restatements
- Adverse 404 Internal Controls
- Adverse 302 Disclosure Controls
- Late Filings
- Director & Officer Changes
- Auditor Changes
- Going Concerns
- Comment Letters

AUDIT ANALYTICS	
Peer Alert System Feed	
Company	EMERSON ELECTRIC CO (NYSE:EMR)
Location	St. Louis, Missouri
Revenue	\$1,124,000,000 (2008-09-30 TRM)
Auditor	Ernst & Young LLP
Phase	Other Director / Officer Resignation
Appointed	Richard E. Soper MD
Effective	2008-11-20
Company	UNITED MICROELECTRONICS CORP (NYSE:U)
Location	Taipei, Taiwan
Revenue	\$1,000,000,000 (2008-09-30 TRM)
Auditor	Ernst & Young LLP
Phase	Other Director / Officer Resignation
Appointed	Jeffrey A. Blakeman
Effective	2008-05-01
Company	UNITED MICROELECTRONICS CORP (NYSE:U)
Location	Taipei, Taiwan
Revenue	\$1,000,000,000 (2008-09-30 TRM)
Auditor	Ernst & Young LLP
Phase	Other Director / Officer Resignation
Appointed	Jeffrey A. Blakeman
Effective	2008-12-01
Company	UNITED MICROELECTRONICS CORP (NYSE:U)
Location	Taipei, Taiwan
Revenue	\$1,000,000,000 (2008-09-30 TRM)
Auditor	Ernst & Young LLP
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E-mail alerts link to the Audit Analytics online service to facilitate additional research of compelling audit, compliance, and governance events.

COMPREHENSIVE RESEARCH

Audit Analytics comprehensive research is used daily around the world for market intelligence, due diligence, compliance monitoring bench marking, business development and trend analysis. All disclosure issues are individually reviewed and categorized with Audit Analytics proprietary issues taxonomy.

Audit + Compliance

Track and understand customer and competitor activities and trends related to audit, regulatory and disclosure issues. The Audit + Compliance module addresses core informational demands for a variety of research needs including market intelligence, due diligence, compliance monitoring and trend analysis.

Corporate + Legal

Access and research audit and disclosure intelligence on specific SEC and legal actions within Audit Analytics proprietary SEC Comment Letters and Litigation databases. The Corporate + Legal service is a critical tool for enhancing new and existing accounting and securities legal work, advisory services and business development.

Other Independent Audit (OIA)

Expanding our view of the national audit market, Audit Analytics now tracks who audits the 4,800+ Broker Dealers. Additionally, our new non-profit data-base is composed of over 40,000 federally funded audited institutions and can be easily broken out for your business intelligence needs.

Data Feeds

Integrate Audit Analytics data with internal information systems like CRM, compliance and risk management systems. Audit Analytics data feeds are designed for easy development of custom applications and dynamic reports.

Custom Research

Apply Audit Analytics expertise to better understand specific public company disclosure trends and identify new business opportunities. Our analysts and development teams are available to help with custom research projects and expert analysis.

FIND OUT MORE

For complete information about our audit, regulatory and disclosure intelligence, contact us today to schedule a personalized Audit Analytics demonstration.

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