

## OVERVIEW

Audit Analytics is an independent research provider of audit, regulatory and disclosure intelligence. Through easy-to-use online research, Audit Analytics enables accounting, investment, corporate and legal communities to track, analyze, and confidently understand public company disclosure issues and trends.

With deep roots in the fields of forensic and public accounting, the Audit Analytics team draws on its experience with customer workflow processes and research needs to deliver comprehensive and timely research tools. Innovative technology is backed up by personalized customer service and an ongoing commitment to product investment driven by customer input.

The result: Comprehensive audit, regulatory and disclosure intelligence delivered in an easy-to-use online tool that yields timely research and results.

## A COMPREHENSIVE SOURCE FOR AUDIT, REGULATORY AND DISCLOSURE INTELLIGENCE

Audit Analytics tracks in detail the issues related to audit, compliance, governance, corporate actions and federal litigation for all SEC registrants (including foreign and Canadian filers). All disclosure issues are individually reviewed and categorized within Audit Analytics proprietary issues taxonomy.

## DELIVERING TIMELY RESEARCH AND RESULTS

### Audit + Compliance

Track and understand customer and competitor activities and trends related to audit, regulatory and disclosure issues. The Audit + Compliance module addresses core informational demands for a variety of research needs including market intelligence, due diligence, benchmarking, compliance monitoring and trend analysis.

- Auditor Engagements
- Auditor Changes
- Auditor Fees
- Audit Opinions
- Benefit Plan Opinions
- SOX 404: Internal Controls
- Financial Restatements
- Non-Timely Filings
- SOX 302: Disclosure Controls

### Corporate + Legal

Access and research audit and disclosure intelligence on specific corporate and legal actions within Audit Analytics proprietary SEC Comment Letters and Litigation databases. The Corporate + Legal service is a critical tool for enhancing new and existing accounting and securities law work, advisory services and business development.

- Federal Litigation
- SEC Comment Letters
- Registrations
- Mergers and Acquisitions
- IPOs
- Bankruptcies
- Shareholder Actions
- Compensation Consulting
- Director and Officer Changes

### Other Independent Audits (OIA)

- Broker Dealers
- Non-Profit Single Audits
- ERISA
- Registered Investment Advisors

### Peer Reporter

Benchmark and track key audit and compliance issues within defined peer groups on a daily basis. Comprehensive and timely custom reports based on issue type are easily generated with the Peer Reporter application.

- Peer group creator
- Customizable daily e-mail alerts
- Risk benchmarking reports
- Industry reports

### Data Feeds

Integrate Audit Analytics data with internal information systems like CRM, compliance and risk management systems. Audit Analytics data feeds are designed for easy development of custom applications and dynamic reports.

- Information systems integration
- Relational tables
- Standard taxonomies
- Customizable schemes

"We have researched and analyzed thousands of public companies using the Audit Analytics service and have found the service to be innovative, timely and accurate. The support staff has been an invaluable resource for our marketing, risk management and business development efforts."

Otis Joseph  
Marketing Intelligence Manager  
Grant Thornton, LLP

## WHO BENEFITS

Audit Analytics is used around the world for market intelligence, due diligence, benchmarking compliance monitoring and disclosure trend analysis.

**Accounting and Consulting Firms**  
Market Share Analysis  
Business Development

**Law Firms**  
Advisory Services  
Disclosure Trends

**Investment and Fund Managers**  
Portfolio Screening  
Quantitative Modeling Data

**Insurance Providers**  
Due Diligence  
Informed Underwriting

**Corporate Reporting Professionals**  
Peer Compliance Reviews  
Auditor Fee Benchmarking

**Universities and Academics**  
Publishing Research  
Case Studies

## Comprehensive Research

Apply Audit Analytics expertise to better understand specific public company disclosure trends and identify new business opportunities. Our analysts and development teams are available to help with custom research projects and expert analysis.

- Market share analysis
- Litigation trends
- Audit and compliance disclosure trends
- Custom regulatory and standards body requests

## AUDIT ANALYTICS IN ACTION

Easy-to-use features allow users to download data into Excel, receive daily e-mail alerts of important public company events, and benefit from advanced online filters and searches.

The screenshot displays the Audit Analytics web application. The top section shows a list of companies with columns for Company, Market, NYSE, and other identifiers. Below this, a detailed view for Aspen Technology Inc. (AZPN) is shown, including financial data such as Market Cap, Revenue, and Earnings, as well as a list of recent SEC filings and their dates.

## SEC Disclosure Issues

- Comparable taxonomy
- Individually reviewed by analysts
- Sourced for customer reference

## FIND OUT MORE

For complete information about our audit, regulatory and disclosure intelligence, contact us today to schedule a personalized Audit Analytics demonstration.

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# AUDIT ANALYTICS®

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