The Accounting + Oversight module is designed to illuminate the big picture of the audit and regulatory environment. With this suite of databases, gain insight into audit quality, trends in PCAOB inspections, shareholder satisfaction with auditors, and more.

- Auditor Intelligence
- Financial Reporting Quality
- Due Diligence
- Compliance Monitoring

The Audit Analytics team draws on its experience in public accounting to provide our customers with comprehensive and timely research to complement and enhance their research workflow processes. Our proprietary methodologies enable quantitative analysis of qualitative factors.

### Databases

- **Auditor Ratification**
  - Contains the shareholder voting results for the ratification of independent auditors.
  - Analyze by auditor, total votes (for, against, abstain and broker non-votes), and percentage against.

- **Changes in Accounting Estimates**
  - Tracks all material disclosed changes in accounting estimates (ASC 250-10-50-4) for SEC registrants (foreign and domestic) as disclosed in annual and quarterly filings.
  - Key data points include the nature of the change, impact on income and EPS, and the period in which the change was disclosed.

- **PCAOB Inspection Reports**
  - Composed of all PCAOB reports assessing a firm’s compliance with professional and regulatory audit standards.
  - Each report has been analyzed and categorized according to our proprietary taxonomy.

### Academic Spotlight

Recent studies in the accounting literature have found that companies that put their auditor up to shareholder ratification are less likely to have restatements.

Audit Analytics is an independent research provider of audit, regulatory and disclosure intelligence. Through an easy-to-use online interface, Audit Analytics’ comprehensive research is used daily around the world by the accounting, investment and legal communities for market intelligence, due diligence, compliance monitoring and trending.

Audit Analytics tracks in detail the issues related to audit, compliance, governance, corporate actions and federal litigation from a broad range of public disclosures, including: approximately 20,000 SEC registrants (including foreign and Canadian filers); nearly 4,000 Canadian SEDAR filers; more than 4,300 insurance companies filing with NAIC; 750,000 ERISA plans filing IRS Form 5500; and more.

- Market intelligence based on expert analysis.
- Comprehensive databases of disclosures from sources including the SEC, IRS, NAIC, SEDAR and more.
- Up to date changes and custom alert notifications.
- Custom research projects and professional services.

Audit + Compliance
Track and understand customer and competitor activities and trends related to audit, regulatory and disclosure issues. The Audit + Compliance module addresses core informational demands for a variety of research needs including market intelligence, due diligence, benchmarking, compliance monitoring and trend analysis.

Corporate + Legal
An integrated collection of databases focused on actions, disclosures, and correspondence by companies, advisors, regulators, and investors. Leading investment and legal professionals use this intelligence to achieve cost and time savings for accounting and securities law work, due diligence, and business development.

Other Independent Audits (OIA)
Bring into focus hundreds of thousands of audits of non-public entities. Comprising two separate modules - Financial Services and Government + Non-Profit - this product covers a number of boutique industries, from broker dealers to benefit plans. These tools can help identify market and engagement opportunities.

Canada (SEDAR)
Provides detailed research and expert analysis on nearly 4,000 Canadian public companies. We process the filings required by the Canadian Securities Administrators that are submitted to the online SEDAR database, enabling powerful and efficient data analytics.

Peer Reporter
Benchmark and track key audit and compliance issues within defined peer groups on a daily basis. Comprehensive and timely custom reports are easily generated with the Peer Reporter application.

Data Feeds
Integrate Audit Analytics data with internal information systems like CRM, compliance and risk management systems. Audit Analytics data feeds are designed for easy development of custom applications and dynamic reports.

Custom Research
Apply Audit Analytics expertise to better understand specific public company disclosure trends and identify new business opportunities. Our analysts and development teams are available to help with custom research projects and expert analysis.

Find Out More
For Complete Information on Audit Analytics and all of its databases offered, contact us today to schedule a personalized Audit Analytics Demonstration.

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